## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/724,870

Confirmation No.: 1625

Applicant

: Randall S. HICKLE

Filed

: December 2, 2003

TC/A.U.

: 3743

Examiner

: Not Yet Assigned

Title

: RESPIRATORY MONITORING SYSTEMS AND METHODS

Docket No.

: 82021-0033

Customer No.: 24633

Commissioner of Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449, which the Examiner may deem relevant to the patentability of the above-identified application. One copy of A19 through A27 are submitted herewith.

The USPTO has waived the requirements under 37 CFR 1.98(a)(2)(I) to submit copies of U.S. Patent and U.S. Patent applications, publications when citing and submitting an Information Disclosure Statement in a patent application filed after June 30, 2003, and International Application that have entered the National Stage under 37 U.S.C. 371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to PRE-OG Notice from the Office of Patent Legal Administration dated July 25, 2003, stating an Information Disclosure Statement may be filed

without copies of U.S. patent and published applications for patent applications filed after June 30, 2003.

This information disclosure statement is being filed within one of the following time periods: within three months of the filing date of this application other than a continued prosecution application, or within three months of the date of entry into the national stage of this international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. Therefore, no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission the listed documents are material or constitute "prior art."

If the Examiner applies the documents as "prior art" against any claims in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

Attorney Docket No. 82021-0033 Application No. 10/724,870

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

By:

**HOGAN & HARTSON LLP** 

Dated: August 4, 2004

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Sheet 1 of 2

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE
(Modified)	PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

_	ATTY. DOCKET NO 82021-0033	APPLICATION NO. 10/724,870	
	APPLICANT Randall S. HICKLE		
	FILING DATE	GROUP 3743	

## **U.S. PATENT DOCUMENTS**

December 2, 2003

EXAMINER		PATENT	ISSUE				FILING DATE IF
INITIAL		NUMBER	DATE	PATENTEE	CLASS	SUBCLASS	APPROPRIATE
-	A1	3,657,926	04/25/1972	Munson et al			
	A2	4,596,251	06/24/1986	Plicchi et al			
	А3	4,702,253	10/27/1987	Nappholz et al			
	A4	4,721,110	01/26/1988	Lampadius			
	A5	4,813,428	03/21/1989	Muraki et al			
	A6	5,003,976	04/02/1991	Alt			
	A7	5,022,402	06/11/1991	Schieberl et al			
	A8	5,063,938	11/12/1991	Beck et al			
· · ·	A9	5,099,837	03/31/1992	Russell et al			
	A10	5,540,731	07/30/1996	Testerman			,
_	A11	5,549,117	08/27/1996	Tacklind et al			
	A12	5,669,379	09/23/1997	Somerson et al			
	A13	5,671,734	09/30/1997	Pugh			
	A14	5,738,102	04/14/1998	Lemelson			
	A15	5,920,263	07/06/1999	Huttenhoff et al			
	A16	5,931,858	08/03/1999	Kadhiresan			
	A17	5,957,861	09/28/1999	Combs et al			
	A18	6,216,690 B1	04/17/1001	Keitel et al			
EXAMINER			DATE CONSIDERED				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

NUMBER DATE COUNTRY CLASS SUBCLASS  Yes N  A19 WO 99/59460 11/25/1999 WIPO  A20 WO 98/38909 09/11/1998 WIPO  A21 WO 96/03071 02/08/1996 WIPO  A22 0 484 174 A1 05/06/1992 Europe  A23 0 634 185 A2 01/18/1995 Europe  A24 EP 0 911 052 04/28/1999 Europe  A25 WO 91/03979 04/04/1991 WIPO  A26 WO 94/24935 11/10/1994 WIPO  A27 WO 95/19556 07/20/1995 WIPO  OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			DOCUMENT	PUBLISHED	.04		SUBCLASS	ABSTRACT	
A20 WO 98/38909 09/11/1998 WIPO  A21 WO 96/03071 02/08/1996 WIPO  A22 0 484 174 A1 05/06/1992 Europe  A23 0 634 185 A2 01/18/1995 Europe  A24 EP 0 911 052 04/28/1999 Europe  A25 WO 91/03979 04/04/1991 WIPO  A26 WO 94/24935 11/10/1994 WIPO  A27 WO 95/19556 07/20/1995 WIPO			NUMBER	DATE	COUNTRY	CLASS		Yes	No
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		A26	WO 94/24935	11/10/1994	WIPO				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		A27	WO 95/19556	07/20/1995	WIPO				
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